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Letter to the Editors

# A cubic-to-monoclinic structural transformation in the

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sesquioxide  $Dy_2O_3$  induced by ion irradiation

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## Abstract

Polycrystalline pellets of the sesquioxide  $Dy_2O_3$  were irradiated at cryogenic temperature with Kr<sup>++</sup> ions to a fluence of  $1 \times 10^{20}$  Kr/m<sup>2</sup>. The crystal structure of the irradiated  $Dy_2O_3$  was observed to change from a cubic, so-called C-type rare-earth sesquioxide structure to a monoclinic, B-type rare-earth sesquioxide structure upon ion irradiation. This transformation is accompanied by a decrease in molecular volume (or density increase) of approximately 9%. © 2004 Elsevier B.V. All rights reserved.

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### 1. Introduction

There has been considerable interest recently in the radiation damage behavior of oxides with structures related to fluorites, due to observations of impressive radiation tolerance in several different oxide compounds possessing the fluorite structure [1-3]. This letter addresses radiation damage evolution in Dy<sub>2</sub>O<sub>3</sub> induced by Kr<sup>++</sup> ion irradiation. Dy<sub>2</sub>O<sub>3</sub> is a sesquioxide with the bixbyite crystal structure, a structure closely related to fluorite. Results presented here are compared to a previous study involving Xe<sup>++</sup> ion irradiations of Dy<sub>2</sub>O<sub>3</sub> [4].

Bixbyite is the mineral name for the cubic ( $\alpha$  phase) polymorph of Mn<sub>2</sub>O<sub>3</sub>. Bixbyite belongs to space group  $Ia\bar{3}$ , with cations occupying 8*b* and 24*d* equipoints and anions occupying the 48*e* equipoint, so that there are 16 molecular units and 80 atoms per unit cell. The cations

<sup>1</sup> This description of the bixbyite is idealized to simplify the

assume a face-centered cubic (fcc) arrangement, whilst the anions occupy tetrahedral interstices within this fcc cation lattice.<sup>1</sup> The tetrahedral interstices themselves form a simple cubic lattice, but only three-fourths of these sites are occupied by oxygen ions in a bixbyite crystal, due to the oxygen deficiency of an A<sub>2</sub>O<sub>3</sub> bixbyite compared to a BO<sub>2</sub> fluorite (in the BO<sub>2</sub> fluorite, all tetrahedral interstices are occupied). The cations thus become sixfold coordinated by anions. In bixbyite, the empty sites on this pseudo-simple cubic anion sublattice are ordered so that the cubic unit cell is a super-cell, with twice the repeat unit of the cation sublattice (see Fig. 1). In  $Dy_2O_3$ , for instance, the cubic lattice parameter is a = 1.06706(7) nm [5], while the cation sublattice repeat unit is only a' = a/2 = 0.53353 nm. In the study presented here, we will show that ion irradiation induces a

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transformation of  $Dy_2O_3$  to a monoclinic, so-called B-rare-earth sesquioxide.



Fig. 1. One octant of an idealized  $A_2O_3$  cubic bixbyite unit cell. The cations form an *fcc* arrangement, with anions in six of the eight available tetrahedral interstices. The vacant tetrahedral interstices (on the 16c equipoint) themselves form an ordered arrangement that causes the full unit cell to have twice the periodicity of this octant.

#### 2. Experimental procedure

High purity Dy<sub>2</sub>O<sub>3</sub> powders (Alfa Aesar 99.99%) pure) were sintered at 1273 K for 24 h, then ball-milled and cold-pressed at a pressure of 6 metric tons. The green density of the pellets obtained by this procedure was  $\sim 75\%$  of theoretical. Pellets were then calcined at 1273 K for 36 h, then sintered at 1923 K for 5 h, followed by 1773 K sintering for 36 h and slow cooling with the furnace off. The measured density of the final sintered product was 97% of theoretical. Using X-ray diffraction (XRD), the primary phase in the sintered pellets was determined to be consistent with the cubic bixbyite structure, with a lattice parameter given by a = 1.065(4) nm (based on calibration using NIST #640c silicon powder standard reference material). The average grain size in the pellets was  $\sim 2 \ \mu m$  grain diameter (based on light and scanning electron microscopy observations). The pellets (13 mm diameter and lemon yellow in color) were cut into 0.5 mm thickness disks using a diamond saw and then polished with alumina lapping films to obtain a mirror finish.

 $Dy_2O_3$  samples were irradiated with 300 keV Kr<sup>++</sup> ions in the Ion Beam Materials Laboratory (IBML) at Los Alamos National Laboratory (LANL). Ion



Fig. 2. Monte Carlo simulation results showing the displacement damage profile as a function of depth and the ion depth distribution for 300 keV Kr<sup>++</sup> ion irradiation of cubic (C-phase)  $Dy_2O_3$  to a fluence of  $1 \times 10^{20}$  Kr/m<sup>2</sup>. The ion beam was oriented at normal incidence with respect to the sample substrate. The threshold for atomic displacements for both Dy and O were taken to be 40 eV in the calculations which is an arbitrary assumption.

implantations were performed at cryogenic temperature (~120 K) and at normal incidence using a dose rate of  $2.8 \times 10^{16}$  Kr<sup>++</sup>/m<sup>2</sup> s to a fluence of  $1 \times 10^{20}$  Kr/m<sup>2</sup>. The Monte Carlo program SRIM [6] was used to estimate the ion range profile and displacement damage distribution in the Dy<sub>2</sub>O<sub>3</sub> substrate. Fig. 2 shows the results of this simulation. The peak in the displaced atom damage distribution occurs at a sample depth of 50 nm (corresponding to a peak displacement damage level of 25 displacements per atom (dpa) at fluence  $1 \times 10^{20}$  Kr/m<sup>2</sup>), while the Kr ion range profile reaches maximum at a depth of 100 nm (corresponding to a peak implantation concentration of just over 1 at.% Kr at fluence  $1 \times 10^{20}$  Kr/m<sup>2</sup>), with a longitudinal straggling of 50 nm.

Irradiated samples were then analyzed using either grazing-incidence X-ray diffraction (GIXRD) and transmission electron microscopy (TEM). GIXRD measurements were made using a Bruker AXS D8 Advanced X-ray diffractometer,  $Cu-K_{\alpha}$  radiation, a graphite monochromator,  $\theta-2\theta$  geometry, and a fixed, 1° angle of incidence on the sample. The diffractometer was equipped with a Göebel mirror to achieve parallel beam diffraction optics. The  $\theta-2\theta$  scans were performed using

a step size of 0.008° and a dwell time of 16 s per step. TEM observations were made using a Philips CM-30 instrument operating at 300 kV.

#### 3. Results and discussion

Fig. 3 shows the results obtained using GIXRD on  $Dy_2O_3$  before and after Kr<sup>++</sup> ion irradiation to a fluence of  $1 \times 10^{20}$  Kr/m<sup>2</sup>. GIXRD clearly reveals a change in structure of Dy<sub>2</sub>O<sub>3</sub> at this radiation damage dose. The primary peaks in the unirradiated pattern at 29° and  $33.6^{\circ}$  are the  $\{222\}$  and  $\{400\}$  reflections from the cubic bixbyite phase of  $Dy_2O_3$  (also known as the C-rare-earth sesquioxide structure). Following irradiation with Kr<sup>++</sup> ions, the intensities of these cubic peaks are greatly diminished and new peaks emerge in the diffraction pattern. These new peaks are consistent with a monoclinic phase of Dy<sub>2</sub>O<sub>3</sub> (JCPDF card 22-259 [7]). This phase was first observed in high temperature studies of rare-earth sesquioxides [8,9], and later in high pressure studies of the same sesquioxides [10-12]. This monoclinic phase is the so-called B-rare-earth



Fig. 3. GIXRD diffractometer scans obtained from pristine, unirradiated  $Dy_2O_3$  (gray trace) and from a  $Dy_2O_3$  substrate irradiated with 300 keV Kr<sup>++</sup> ions to a fluence of  $1 \times 10^{20}$  Kr/m<sup>2</sup> (black trace). Scans were made at an X-ray incidence angle of 1°. Scans indicate a radiation-induced phase transformation from a cubic (C-type) to a monoclinic (B-type) sequivide structure.

![](_page_3_Figure_1.jpeg)

Fig. 4. Least square fit of Gaussian profiles to the diffraction maxima in the irradiated diffractometer scan from Fig. 3. The black trace is the measured diffraction data. The gray dotted trace is the sum of the Gaussian profiles used to fit the measured data. The peaks are identified with both B and C-type  $Dy_2O_3$  and are indexed accordingly. The peak widths at half maximum are  $0.3^\circ$  for the cubic (C-type) peaks and  $0.55^\circ$  for the monoclinic (B-type) peaks. This difference is likely due to both strain and particle size broadening (the latter suggesting that the grain size in the implanted layer is significantly reduced compared to in the bulk).

sesquioxide structure and has been assigned to space group C 2/m (S.G. #12) [10].

Fig. 4 shows the results of a Gaussian-curve-fitting analysis of the Kr<sup>++</sup>-ion-irradiated Dy<sub>2</sub>O<sub>3</sub> GIXRD data presented in Fig. 3. Indexing of the reflections in Fig. 4 assumes that the new, irradiation-induced phase of Dy<sub>2</sub>O<sub>3</sub> has a unit cell with dimensions similar to B-type Dy<sub>2</sub>O<sub>3</sub> published earlier [11]. Then, given that interplanar spacings d(hkl) in monoclinic structures are related to lattice parameters *a*, *b*, *c*, and  $\beta$  by

$$\frac{1}{d^2} = \frac{1}{a^2} \frac{h^2}{\sin^2 \beta} + \frac{1}{b^2} k^2 + \frac{1}{c^2} \frac{l^2}{\sin^2 \beta} - \frac{2hl \cos \beta}{ac \sin^2 \beta}$$
(1)

a least squares minimization procedure using the centroid positions of the Gaussians associated with the new monoclinic phase in Fig. 4, revealed that the radiationinduced monoclinic phase is characterized by lattice parameters a = 1.390(8) nm, b = 0.3504(2) nm, c = 0.8624(7) nm, and  $\beta = 100.06^{\circ}$ .

Fig. 5 shows results of cross-sectional transmission electron microscope (XTEM) observations of the microstructure associated with the Kr++ ion irradiated  $Dy_2O_3$  samples. The micrographs show both bright-field (BF) and dark-field (DF) views of the implanted layer. The DF micrograph clearly shows that the grain size in the implanted layer is greatly diminished compared to the substrate (order of 80 nm diameter grains). Also, the DF image reveals that many of the grains possess orientation relationships with the unirradiated substrate (i.e., when the substrate is oriented in a Bragg diffraction condition, some implanted layer grains are simultaneously aligned for Bragg diffraction). This will be discussed further in a future report. Fig. 5 also shows microdiffraction (uD) patterns obtained from both the substrate (labeled 1) and different regions of the implanted surface layer (labeled 2 and 3). The substrate µD pattern indexes as the cubic bixbyite phase of Dy<sub>2</sub>O<sub>3</sub> with an electron beam orientation given by  $\mathbf{B} = [121]$ . The  $\mu D$  patterns from the implanted layer are consistent with a monoclinic B-phase of Dy<sub>2</sub>O<sub>3</sub> with beam orientations given by  $\mathbf{B} = [134]$  (labeled 2) and  $\mathbf{B} = [132]$ (labeled 3). The  $\vec{\mathbf{B}} = [\bar{1}34] \ \mu D$  pattern is the same as a

![](_page_4_Figure_2.jpeg)

Fig. 5. XTEM results obtained from a Dy<sub>2</sub>O<sub>3</sub> substrate irradiated with 300 keV Kr<sup>++</sup> ions to a fluence of  $1 \times 10^{20}$  Kr/m<sup>2</sup>. The top and bottom micrographs are BF and DF images, respectively. The  $\mu$ D patterns labeled 1–3 were obtained from regions labeled 1–3 in the DF image. The substrate  $\mu$ D pattern (labeled 1) indexes as the cubic bixbyite phase of Dy<sub>2</sub>O<sub>3</sub> with  $\vec{B} = [1 \ 2 \ 1]$ . The  $\mu$ D patterns from the implanted layer are consistent with a monoclinic B-phase of Dy<sub>2</sub>O<sub>3</sub> with  $\vec{B} = [1 \ 3 \ 4]$  (labeled 2) and  $\vec{B} = [1 \ 3 \ 2]$  (labeled 3).

pattern published in our earlier study of Xe<sup>++</sup> ion irradiated Dy<sub>2</sub>O<sub>3</sub> [4], wherein the pattern was identified as coming from a disordered fluorite-structured Dy<sub>2</sub>O<sub>3</sub> phase. The GIXRD and  $\mu$ D results presented here demonstrate clearly that the radiation-induced phase transformation in Dy<sub>2</sub>O<sub>3</sub> is to a monoclinic B-type sesquioxide structure, not to a disordered fluorite phase.

The transformation for a cubic C-type to monoclinic B-type structure was observed previously in an ion irradiated sesquioxide. Specifically, Hémon et al. [13] irradiated  $Y_2O_3$  with either 1 GeV Ta or 0.86 GeV Pb ions and observed a transformation from bixbyite structured  $Y_2O_3$  (C-type) to monoclinic B or B1-type  $Y_2O_3$ .<sup>2</sup> Though the structural transformation in  $Y_2O_3$  is identical to the transformation observed here for  $Dy_2O_3$ , the mechanisms must be very different, since the  $Y_2O_3$  experiments were performed using primarily ionizing radiation, while predominately displacive radiation was employed in this study.

One interesting observation is that the density of the irradiation-induced B-phase is significantly greater than the unirradiated C-phase bixbyite. The volume per molecular unit was found to decrease upon irradiation from 0.0756 to 0.0690 nm3/molecule, a decrease of nearly 9%. This result is similar to previous reports of volume contraction accompanying C- to B-transformations in sesquioxides (either temperature or pressure induced) (see, e.g. [10]). It should be noted that an increase in cation coordination is observed in the C- to B-transformation, from 6 in cubic bixbyite to a mixture of 6 and 7 in the monoclinic phase [16-18] (some authors describe cation coordination in the monoclinic phase as entirely sevenfold [11,19,20]). This cation coordination increase partly explains the increase in Dy<sub>2</sub>O<sub>3</sub> density that accompanies the C-B transformation.

<sup>&</sup>lt;sup>2</sup> B1 is a monoclinic phase, presumably structurally related to B, that has been observed in several previous examinations of polymorphism in rare earth sesquioxides (see for example [8,14,15]).

Explanations for this transformation are open to debate. Ion implantation can induce large near-surface compressive biaxial stress states (see, e.g., [21]), which in turn might lead to nucleation of a high-pressure phase such as the B-type Dy<sub>2</sub>O<sub>3</sub> structure. But porosity in our  $Dy_2O_3$  pellets (~3%) and the free surface associated with our implantation geometry, should conceivably assist stress relaxation and thereby mitigate pressure-induced phenomena. Displacive radiation damage can also cause materials to transform to higher-temperature polymorphs (see, for instance, irradiation effects in ZrO<sub>2</sub> [2]), suggesting that the irradiation-induced B-type  $Dy_2O_3$ phase is rationalized because it is a structure ordinarily observed at high temperature. But the transformation from cubic bixbyite (C-Dy<sub>2</sub>O<sub>3</sub>) to monoclinic, B-type  $Dy_2O_3$  is accompanied by a *reduction* in crystalline symmetry, which is not a typical observation. More significantly, we observe the density of the irradiated  $Dy_2O_3$  to increase significantly, whereas most materials irradiated under similar conditions show the opposite behavior (i.e., irradiation-induced swelling [22]). Experiments are now in progress to explore the irradiationinduced C- to B-transformation of Dy<sub>2</sub>O<sub>3</sub> in more detail.

#### 4. Summary

In conclusion, heavy ion irradiation of cubic C-type  $Dy_2O_3$  leads to a radiation-induced crystal structure transformation to a monoclinic, B-type  $Dy_2O_3$  phase. This transformation is accompanied by a 9% decrease in molecular volume (or an equivalent increase in atomic density). B-type  $Dy_2O_3$  is both a high-temperature and a high-pressure rare-earth sesquioxide polymorph, and consequently, explanations for this transformation at present are merely speculative.

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